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| **Assessment 1 - Questioning – Compliance Questions** | | | | | | | | | | | |
| **UNIT/S OF COMPETENCY – Code** | | | CHCLEG003 | **Title** | Manage Legal and Ethical Compliance | | | | | | |
| **Student Name** | |  | | | | | **Student Number** | |  | | |
| **Student Signature** | |  | | | | | **Assessment Date** | |  | | |
| **Assessment Location** | | At home in own time | | | | | **Assessment Time/ Duration** | | 3 hours | | |
| **Q1: In your own words please provide your understanding of each of the following**   1. **Define compliance (What does it mean)** 2. **What is meant by Compliance Culture?** 3. **What is meant by Compliance failure?** 4. **What is a Compliance program?** | | | | | | | | | | **MR** | **FER** |
| |  |  | | --- | --- | | **a) Define compliance (What does it mean)** |  | | **b) What is meant by Compliance Culture?** |  | | **c) What is meant by Compliance failure?** |  | | **d) What is a Compliance program?** |  | | | | | | | | | | |  |  |
| **Q2: Research and summarise your understanding of each point below**   1. **Children in the workplace** 2. **Codes of conduct/codes of practice** 3. **Complaints management** 4. **Continuing professional education** 5. **Discrimination** 6. **Dignity of risk** 7. **Duty of care** | | | | | | | | | | **MR** | **FER** |
| |  |  | | --- | --- | | **a) Children in the workplace** |  | | **b) Codes of conduct/codes of practice** |  | | **c) Complaints management** |  | | **d) Continuing professional education** |  | | **e) Discrimination** |  | | **f) Dignity of risk** |  | | **g) Duty of care** |  | | | | | | | | | | |  |  |
| **Q3: What are the legal responsibilities of managers?** | | | | | | | | | | **MR** | **FER** |
|  | | | | | | | | | |  |  |
| **Q4: Explain the following in your own words:**   1. **Universal declaration of human rights** 2. **Relationship between human needs and human rights.** 3. **Provide a brief summary of your understanding of two (2) Australian frameworks used in the workplace in relation to Human Rights in community services organisations.** | | | | | | | | | | **MR** | **FER** |
| |  |  |  | | --- | --- | --- | | **a) Universal declaration of human rights** |  | | | **b) Relationship between human needs and human rights** |  | | | **c) Human rights frameworks** | **1** |  | | **2** |  | | | | | | | | | | |  |  |
| **Q5: Provide two (2) rights and responsibilities of the following people:**   * **Workers** * **Employers** * **Client/Consumers** | | | | | | | | | | **MR** | **FER** |
| |  |  | | --- | --- | | **Worker Rights** |  | | **Worker Responsibilities** |  | | **Employer Rights** |  | | **Employer Responsibilities** |  | | **Consumer Rights** |  | | **Consumer Responsibilities** |  | | | | | | | | | | |  |  |
| **Q6: What is industrial relations legislation and how does it relate to the Community Services sector?** | | | | | | | | | | **MR** | **FER** |
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| **Assessor Feedback** | | | | | | | | | | | |
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| Assessor note: Please ensure all above criteria have been met to a satisfactory standard. Where not, additional evidence must be obtained and recorded to meet assessment criteria. All additional evidence must be clearly documented. | | | | | | | | | | | |
| **Assessor Name** | |  | | | | | | | | | |
| **Assessor Signature** | |  | | | | **Date** | |  | | | |
| **Assessment Task Result (Please tick appropriate Assessment Result)** | | | | | | **MR**  **FER** | | | | | |
| **Marking Scheme** | **Competency based** | | | | | | | | | | |